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F	EE	TR	AN	SMITTA	<b>L</b>		Complete if Known							_
							Application Number			09/421,676				_
		_		<b>2004</b>			Filing Date			October 20, 1999 / NOV 0 5 2003				
Effective	10/01/2	2003. Pa	atent fees	s are subject to annua	al revision.	I	First Named Inventor			Bernhar	d Gotz NOV 0 5 2003 8			
App	nlicant	claims s	small en	tity status. See 37 C	CFR 1.27	1	Examiner Name			Michael	Mar			<u> </u>
۱۰۶۶ ـــــــ		oranno c	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				Art Unit			3619		PADON	RYOT	]
TOTAL AMOUNT OF PAYMENT (\$) 1,920.00							Attorney Docket No.			Michael Mar 3619 964-991369				]
MET	CHOD	OF PA	AYME	NT (check all that o	apply)		FEE CALCULATION (continued)							
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				Order - Onlei	None									
Deposit Account:							e Entity	Small	Entity	_				
Deposit Account Number		23-0650			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Desc	rintion		Fee Paid	1	
						1051	130	2051	65		- late filing fee o	r oath		
Deposit Account				1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet						
Name						1053	130	1053	130	Non Engl	ish specification			
The Director is authorized to: (check all that apply)						1812	2,520	1812	2,520	_	ish specification a request for ex p	arte		
Charge fee(s) indicated below X Credit any overpayments							2,320	1012	2,320	reexamina		urie		
X Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.						1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action				
FEE CALCULATION							1,840*	1805	1,840*	Requestin action	g publication of S	IR after Examiner		
1. BASIC FILING FEE							110	2251	55	Extension	for reply within	first month		
Large E			Entity	_		1252	420	2252	210	Extension	for reply within	second month		
	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	1253	950	2253	475	Extension	for reply within	hird month		
`	770	2001	385	Utility filing fee		1254	1,480	2254	740	Extension	for reply within i	ourth month	1,480.00	
1002 3	340	2002	170	Design filing fee		1255	2,010	2255	1,005	Extension	for reply within i	ifth month		
1003 5	530	2003	265	Plant filing fee		1401	330	2401	165	Notice of	Appeal			
1004 7	770	2004	385	Reissue filing fee		1402	330	2402	165	Filing a b	rief in support of	in appeal	330.00	
1005 I	160	2005	80	Provisional filing fee		1403	290	2403	145	Request for	or oral hearing			
SUBTOTAL (1) (\$)							1,510	1451	1,510	Petition to	Petition to institute a public use proceeding			
							110	2452	55	Petition to	Petition to revive - unavoidable			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE							1,330	2453	665	Petition to	revive - unintent			
Fee from Extra Claims below Fee Paid							1,330	2501	665	Utility iss	ue fee (or reissue	R	ECEI	JED.
Total Claims		-20** =		x =		1502	480	2502	240	Design is:	sue fee	8 g 5-		1
Independent Claims		-3** =		x ==		1503	640	2503	320	Plant issu	e fee	Î	10V 0 7	2 <b>003</b>
Multiple Dependent =						1460	130	1460	130	Petitions 1	o the Commission	ner 🔎 🗖		8600
Large Entity Small Entity						1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			COL	hoor
	Fee (\$)	Fee Code	Fee (\$)	Fee Description		1806	180	1806	180	Submissio	on of Information	Disclosure Stmt		
	18	2202	9	Claims in excess of 20		8021	40	8021	40	property (	g each patent assig times number of p	properties)		
1201 8	86	2201	43	Independent claims in excess of 3		1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))				
1203 2	290	2203	145	Multiple dependent claim, if not paid		1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))			•,	
	86	2204	43 **Reissue independent claims over original patent		1801	770	2801	385	Request for Continued Examination (RCE)					
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent						1802	1802 900   1802 900			Request for expedited examination of a design application				
		S	UBTOT	TAL (2) (\$)		Other	Other fee (specify) Fee Code 1814 - Statutory Disclaimer 110.00						110.00	
••or number previously paid, if greater; For Reissues, see above •Reduced by Basic Filing Fee Paid SUBTOTAL (3)													00	
SUBMITT	ED BY	7									(Complet	e (if applicable))		
Name (Print/Type) William H. Logsdon							Registration No. (Attorney/Agent) 22,132				Telephone	e 412-471-8815		
Signature Wufft for d										Date	November 3, 20			

Response Under 37 C.F.R. \$1.192 Appellant's Brief

Application No. 09/421,676
Paper Dated: November 3, 2003
Attorney Docket No. 964-991369





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Splication No.

09/421,676

**Applicant** 

BERNHARD GOTZ

Filed

October 20, 1999

Title

INDUSTRIAL TRUCK WITH A REAR WEIGHT

AND INTERNAL COMBUSTION ENGINE

Group Art Unit

3619

Examiner

Michael Mar

MAIL STOP AF
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

RECEIVED

NOV 0 7 2003

GROUP 3600

## **LETTER**

Sir:

Appellant respectfully requests reinstatement of the Appeal and entry of the accompanying Terminal Disclaimer in the above-identified patent application.

A first final Office Action was issued in this case on December 4, 2001 rejecting claims 1-19, 21, and 22. Appellant's Notice of Appeal was received by the Patent Office on May 14, 2002 and Appellant timely submitted an Appeal Brief on July 15, 2002. After submission of the Appeal Brief, the Examiner issued a second final Office Action dated

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA)22313-1450.

11/03/200 Date

Signature

Tamara S. Griffith
Typed Name of Person Signing Certificate

Page 1 of 3

Application No. 09/421,676 Paper Dated: November 3, 2003 Attorney Docket No. 964-991369

October 28, 2002 reopening prosecution in this case but again finally rejecting claims 1-19, 21, and 22 based at least partly on a newly cited prior art reference (U.S. Patent No. 4,202,453 to Wilkes, Jr. et al.). Appellant submitted a Petition for Extension of Time and a Notice of Appeal on April 28, 2003 (received by the Patent Office on May 2, 2003). A Supplemental Appeal Brief is submitted concurrently herewith in support of the Notice of Appeal.

Initially, Appellant respectfully traverses the finality of the October 28, 2002 Office Action on the grounds that the Wilkes reference was a newly cited reference and Appellant had no reasonable opportunity to address this new reference prior to issuance of the second final Office Action.

Additionally, a Notice of Abandonment dated July 30, 2003 was received in this case on the basis that an Appeal Brief was not timely submitted in support of the Notice of Appeal. Appellant respectfully disagrees. The second final Office Action was dated October 28, 2002. Appellant filed a Notice of Appeal with the requisite Petition for Extension of Time on April 28, 2003. The accompanying Supplemental Appeal Brief is being timely submitted. Therefore, Appellant believes that the Notice of Abandonment was issued in error.

Response Under 37 C.F.R. § 1.192 Appellant's Brief

Application No. 09/421,676
Paper Dated: November 3, 2003
Attorney Docket No. 964-991369

However, should the Patent Office disagree, Appellant hereby states that the abandonment of the application was unintentional and Appellant respectfully requests revival of the application and reinstatement of the Appeal.

## Terminal Disclaimer

Appellant submits herewith a Terminal Disclaimer and respectfully requests entry of the Terminal Disclaimer pursuant to 37 C.F.R. § 1.195.

The rejection of claim 21 under the judicially created doctrine of obviousness-type double patenting was not present in the first final Office Action of December 4, 2001 and from which the initial appeal was taken and the Appeal Brief filed in support thereof. The double-patenting rejection was raised in the second final Office Action of October 28, 2002, which granted Appellant the option to file a reply under 37 C.F.R. § 1.113 or to continue the appeal process (which Appellant has chosen to do). Therefore, pursuant to 37 C.F.R. § 1.195, entry of the Terminal Disclaimer is respectfully requested.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON ORKIN & HANSON, P.C.

William H. Logsdon

Registration No. 22,132 Attorney for Appellant 700 Koppers Building 436 Seventh Avenue

Pittsburgh, PA 15219-1818 Telephone: (412) 471-8815 Facsimile: (412) 471-4094

E-mail: webblaw@webblaw.com